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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/917,250	07/27/2001	Warren Dalziel	08173-048001

CONFIRMATION NO. 7795

## FORMALITIES LETTER



\*OC000000006539529\*

20985  
FISH & RICHARDSON, PC  
4350 LA JOLLA VILLAGE DRIVE  
SUITE 500  
SAN DIEGO, CA 92122

Date Mailed: 09/10/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$90.
  - \$90 for 5 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 930.**

01/11/2002 BBAY0511 00000092 09917250

01 FC:101	740.00 OP
02 FC:103	90.00 OP
03 FC:105	130.00 OP

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



*Secter* \$ ✓

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Warren Dalziel  
Serial No.: 09/917,250  
Filed : July 27, 2001  
Title : CONTACT OPTICAL HEAD FOR DATA STORAGE

Art Unit: Unknown  
Examiner: Unknown

**BOX MISSING PARTS**

Commissioner for Patents  
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Responsive to the Notice to File Missing Parts of  
Application under 37 CFR §1.53(b) mailed September 10, 2001 (a  
copy of which is enclosed), applicant as a large entity submits  
herewith the following:

- ☒ Payment of the basic filing fee of \$740;
- ☒ Payment of the additional/multiple dependent claims  
fees of \$90;
- ☒ Payment of the surcharge of \$130 for late filing of  
the basic filing fee and/or declaration.
- ☒ A check in the total amount of \$960 is attached.
- ☐ A Verified Statement Claiming Small Entity Status;
- ☒ A Combined Declaration and Power of Attorney in  
compliance with 37 CFR §1.63;

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL**

I hereby certify that this correspondence is being deposited with the  
United States Postal Service as first class mail with sufficient postage on  
the date indicated below and is addressed to the Commissioner for  
Patents, Washington, D.C. 20231.

October 25, 2001

Date of Deposit

Signature

*Sharon Gebhart*

Sharon Gebhart

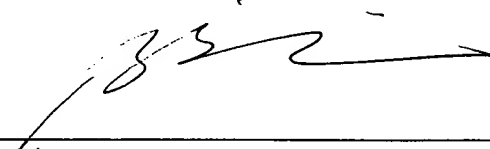
Typed or Printed Name of Person Signing Certificate

- ☐ A verified English translation of the application and payment of the \$ fee required under 37 CFR §1.17(k); and
- ☐ Other:

It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: October 25, 2001

  
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Bing Ai  
Reg. No. 43,312

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